



STANDARDS OF CONDUCT IMPLEMENTING PROCEDURES FOR TRI-STATE GENERATION AND TRANSMISSION ASSOCIATION, INC.

In Accordance with the Federal Energy Regulatory Commission Order 717 - Standards of Conduct For Transmission Providers (18 C.F.R. PART 358)

In October 2008, the Federal Energy Regulatory Commission (FERC) issued Order No. 717, revising the Standards of Conduct rules for electric and natural gas Transmission Providers (the Standards). Subsequently, FERC issued several Orders to clarify certain provisions of Order 717. For ease of reference, we refer to each of these Orders collectively as Order 717. FERC Order 717 requires Tri-State Generation and Transmission Association, Inc. ("Tri-State") to establish detailed written compliance procedures. This document provides those procedures with some clarifying text.

Tri-State, as the owner, operator, and controller of facilities used for the Transmission of electric energy in interstate commerce that conducts Transmission transactions with an Affiliate that engages in Marketing functions, has elected to adopt and abide by the FERC standards of Conduct pursuant to 18 C.F.R. Part 358. However Tri-State does not waive any exemption from jurisdiction or submit to any jurisdiction that FERC does not have with respect to Tri-State, its facilities, books and records or its operations.

Compliance Obligations

Compliance with these procedures by employees, contractors, consultants and agents is mandatory.

Affected Employees (as defined in these Procedures) should strictly adhere to the Order 717 Standards and these Guidelines in performing their jobs. The Standards of Conduct Chief Compliance Officer (SOC CCO), senior executives, department team leaders and supervisors are ultimately responsible for ensuring that all employees within their areas of responsibility review, understand, and comply with the Standards and these Procedures.